



SMIFS CAPITAL MARKETS LIMITED

April 04, 2023

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on March 31, 2023 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

Ayanti Sen
(Ayanti Sen)
Company Secretary-cum-Compliance Officer



Encl: a/a

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General information about company	
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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COMPANY SECRETARY
CUM COMPLIANCE OFFICER

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No		
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Table 1 - Summary Statement holding of specified securities											
Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg: Y	Total	
(A)	Promoter & Promoter Group	12	2534051			2534051	45.37	25,34,051.00		2534051.00	45.37
(B)	Public	1329	3050949			3050949	54.63	3050949.00		3050949.00	54.63
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1341	5585000			5585000	100	5585000.00		5585000.00	100.00

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No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI)(a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Shareholding (No. of shares) under		
									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
			45.37					2534051			
			54.63					3005712	0	0	0
			100					5539763	0	0	0

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Home Validate		Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)
No of Voting (XIV) Rights														
Class as: X	Class as: Y									Total				
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group														
(1)	Indian	9	784350					784350	14.04	784350.00		784350	14.04	
(a)	Individuals/Hindu undivided Family													
(b)	Central Government/ State Government(s)							1749701	31.33	1749701.00		1749701	31.33	
(c)	Financial Institutions/ Banks	3	1749701					2534051	45.37	2534051.00		2534051	45.37	
(d)	Any Other (specify)													
Sub-Total (A)(1)		12	2534051											
(2)	Foreign													
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)													
(b)	Government													
(c)	Institutions													
(d)	Foreign Portfolio Investor													
(e)	Any Other (specify)											2534051	45.37	
Sub-Total (A)(2)								2534051	45.37	2534051.00				
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	2534051											
Details of Shares which remain unclaimed for Promoter & Promoter Group														
B Table III - Statement showing shareholding pattern of the Public shareholder Institutions (Domestic)														
Note: Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.														
(1)														
(a)	Mutual Funds													
(b)	Venture Capital Funds													
(c)	Alternate Investment Funds													
(d)	Banks													
(e)	Insurance Companies													
(f)	Provident Funds/ Pension Funds													
(g)	Asset reconstruction companies													
(h)	Sovereign Wealth Funds													
(i)	NBFCs registered with RBI													
(j)	Other Financial Institutions													
(k)	Any Other (specify)													
Sub-Total (B)(1)														
(2)	Institutions (Foreign)													
(a)	Foreign Direct Investment											550000	9.85	
(b)	Foreign Venture Capital Investors							550000	9.85	550000				
(c)	Sovereign Wealth Funds	1	550000											
(d)	Foreign Portfolio Investors Category I													
(e)	Foreign Portfolio Investors Category II											550000	9.85	
(f)	Overseas Depositories (holding DRs) (balancing figure)							550000	9.85	550000				
(g)	Any Other (specify)													
Sub-Total (B)(2)		1	550000											
(3)	Central Government / State Government(s)													
(a)	Central Government / President of India													
(b)	State Government / Governor													
(c)	Shareholding by Companies or Bodies Corporate, where Central / State Government is a promoter													

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		Sub-Total (B)(3)															
(A)	Non-institutions																
(a)	Associate companies / Subsidiaries																
(b)	Directors and their relatives (excluding independent directors and nominee directors)																
(c)	Key Managerial Personnel																
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																
(f)	Investor Education and Protection Fund (IEPF)	1	114564			114564	2.05	114564				114564	2.05				
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	1234	559240			559240	10.01	559240				559240	10.01				
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	12	996300			996300	17.84	996300				996300	17.84				
(i)	Non Resident Indians (NRIs)	4	4035			4035	0.07	4035				4035	0.07				
(j)	Foreign Nationals																
(k)	Foreign Companies																
(l)	Bodies Corporate	36	773064			773064	13.84	773064				773064	13.84				
(m)	Any Other (specify)	41	53746			53746	0.96	53746				53746	0.96				
Sub-Total (B)(4)		1328	2500949			2500949	44.78	2500949.00				2500949	44.78				
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1329	3050949			3050949	54.63	3050949				3050949	54.63				

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder															
(1)	Custodian/DR Holder - Name of DR Holder (If Available)																
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefit and Sweat Equity) Regulations, 2021																
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)																	
Total (A+B+C)		1341	5585000			5585000	100.00	5585000.00				5585000	100.00				
Total (A+B+C)		1341	5585000			5585000	100.00	5585000.00				5585000	100.00				
Disclosure of notes on shareholding pattern										Add Notes							
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage										Add Notes							
Disclosure of notes in case of public share holding is less than 25 percentage										Add Notes							
Disclosure of notes on shareholding pattern for company remarks, explanatory										Add Notes							

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No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding; convertible securities and No. Of Warrants (XI) (a)	Shareholding , as a % assuming full conversion of convertible securities (, as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
			Shareholding (No. of shares) under							
			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
		14.04					784350			
		31.33					1769701			
		45.37					2584051			
		45.37					2584051			

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X1)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type		
						No of Voting (XIV) Rights							
						Class e.g. X	Total						
Add Delete													
A1(a)	Individuals/Hindu undivided Family										Promoter		
1	AJAY KUMAR KAYAN	AGGPK3892G	400550	400550	7.17	400550.00	400550.00	7.17	7.17	400550	Promoter Group		
2	LALITA KAYAN	AGGPK3893H	154300	154300	2.76	154300.00	154300.00	2.76	2.76	154300	Promoter Group		
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20	2.20	123000	Promoter		
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72	1.72	96200	Promoter Group		
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13	0.13	7000	Promoter Group		
6	SUMAN BHARTIA	AEEP83602B	1500	1500	0.03	1500.00	1500.00	0.03	0.03	1500	Promoter Group		
7	GAUR SHANKAR AJAY KAYAN (HUF)	AABHG5183R	1000	1000	0.02	1000.00	1000.00	0.02	0.02	1000	Promoter Group		
8	AJAY KUMAR KAYAN HUF	AACHA3009B	700	700	0.01	700.00	700.00	0.01	0.01	700	Promoter Group		
9	PAYAL SARAF	AFYPK7045N	100	100	0.00	100.00	100.00	0.00	0.00	100	Promoter Group		
Click here to go back		Total	784350	784350	14.04	784350.00	784350.00	14.04	14.04	784350			

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Serial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital): (X) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type	
								No of Voting (XV) Rights						Total as a % of Total Voting rights
								Class as X	Total					
A1(d)	Any Other (specify)													
		<div><div>Add</div><div>Delete</div></div>												
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE	AABCM6630Q	1	1115700	1115700	19.98	1115700.00	1115700.00	19.98	19.98	1115700	Promoter	
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCF6020F	1	357251	357251	6.40	357251.00	357251.00	6.40	6.40	357251	Promoter	
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE	AADCS8612C	1	276750	276750	4.96	276750.00	276750.00	4.96	4.96	276750	Promoter	
		Click here to go back												
		Total		3	1749701	1749701	31.33	1749701.00	1749701.00	31.33	31.33	1749701		

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		Home	Validate													
Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VI) = (IV)+(V)+ (VI)	Shareholding as a % of total nos. of shares (calculated) as per SCRR, 1957 (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(9) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reasons for not providing PAN	Sub-categorization of shares					
						No of Voting (XIV) Rights					Shareholding (No. of shares) under					
						Class as X	Total				Sub-category (i)	Sub-category (ii)	Sub-category (iii)			
B2(d)	Foreign Portfolio Investors Category I															
<div>Add</div> <div>Delete</div> Disclosure of shareholder holding more than 1% of total number of shares																
1	THE INDIAMAN FUND (MAURITIUS) LIMITED	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000		0	0	0		
	Click here to go back	Total	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000		0	0	0		

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Formal		Validation											
Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
						No. of Voting Rights (X)					Shareholding (No. of shares) under		
						Class as X	Total				Sub-category (i)	Sub-category (ii)	Sub-category (iii)
94(h)	Individuals - II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.												
Disclosure of shareholder holding more than 1% of total number of shares													
1	MINAL BHARAT PATEL	AACPP9126G	323339	323339	5.79	323339.00	323339.00	5.79	5.79		0	0	0
2	SANGEETHA S	AUP33739F	182590	182590	3.27	182590.00	182590.00	3.27	3.27		0	0	0
3	PUNJABHAI KESHAVBHAI LADEJA	AEDPK1160L	171511	171511	3.07	171511.00	171511.00	3.07	3.07		0	0	0
4	Mithalal Hastimal Gandhi	ABGPC9310G	58463	58463	1.05	58463.00	58463.00	1.05	1.05		0	0	0
Click here to go back			Total	735903	13.18	735903.00	735903.00	13.18	13.18		0	0	0

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares			
						No of Voting (XIV) Rights					Shareholding (No. of shares) under			
						Class eg: X	Total				Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
84(i)	Bodies Corporate													
Disclosure of shareholder holding more than 1% of total number of shares														
1	Astra Merchandising Private Limited	AAACA63380	269509	269509	4.83	269509.00	269509.00	4.83	4.83	269509		0	0	0
2	Antrish Vaggar Limited	AAACA5697E	240408	240408	4.30	240408.00	240408.00	4.30	4.30	240408		0	0	0
3	Maya Trade Links Limited	AAACH21920	104862	104862	1.88	104862.00	104862.00	1.88	1.88	104862		0	0	0
4	Blenzuth Investments Limited	AAACH7757N	74000	74000	1.32	74000.00	74000.00	1.32	1.32	74000		0	0	0
	Click here to go back	Total	688779	688779	12.33	688779.00	688779.00	12.33	12.33	688779		0	0	0

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	IPAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
B4(m)	Any Other (specify)						
	Add						
	Delete						
1	HUF	Category			39	39546	39546
2	Clearing Members	Category			2	14200	14200
					Total	41	53746

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No of Voting (XIV) Rights						Shareholding (No. of shares) under		
	Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
0.71	39546	39546	0.71	0.71	39546		0	0	10
0.25	14200	14200	0.25	0.25	14200		0	0	10
0.96	53746.00	53746.00	0.96	0.96	53746		0	0	10

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		Details of the SBO				Details of the registered owner			
Sr. No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality
significant beneficial owners									
<input type="button" value="Add"/> <input type="button" value="Delete"/>									
1	ADAY KUMAR KAYAN	AGGPK0892G		India		WACKERTICH CONSULTANCY	AABCM5680Q		India

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Nationality (Applicable in case of Any other is selected)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				Date of creation / acquisition of significant beneficial interest
		Whether by virtue of:				
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
			19.98		No	No
						30-01-1995

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	9.92
As on the end of previous 1st quarter	100.00	9.92
As on the end of previous 2nd quarter	100.00	9.92
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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